

**COPY**

Title: Mekk1 Molecules and Uses Thereof  
 Serial/Patent No. 09/697,898 Applicant Palombella, et al.

In the above matter, the following have been received by the US Patent and Trademark Office on the date stamped hereon.

- Express Mail Label No. \_\_\_\_\_  Certificate of Mailing under 37 CFR 1.8 (a)  
 Application incl. \_\_\_\_\_ pages ( Design)  Response to Office Action/Restriction Req.  
 \_\_\_\_\_ pp. of specification  Petition for \_\_\_\_\_ month extension of time  
 \_\_\_\_\_ pp. of claims (# of claims - \_\_\_\_\_)  Assignment and Cover Sheet  
 \_\_\_\_\_ pp. of abstract  Amendment ( Preliminary;  After Final)  
 \_\_\_\_\_ sheets of drawings; Figs. \_\_\_\_\_  Notice of Appeal  
 formal  informal  Appellant's Brief (triplicate) ( Reply Brief)  
 Provisional Application Cover Sheet  Letter to Chief Draftsperson  
 Declaration / Power of Attorney ( Unsigned)  Issue Fee/ Maintenance Fee Transmittal  
 Verified Statement Claiming Small Entity Status  Sequence Listing;  Diskette  
 Copy of Notice to File Missing Parts  Response  Transmittal Letter  
 Request for filing CPA; 37 CFR 1.53(d)  Check for \$ \_\_\_\_\_; check # \_\_\_\_\_  
 IDS, PTO-1449, and \_\_\_\_\_ references (Supp. \_\_\_\_\_)  Deposit Account Authorization \$ \_\_\_\_\_

other: Statement Under 37 C.F.R. Sec. 1.821(f)

other: Copy of Notice to Comply with Sequence Listing Requirements

Mailing Date: 3/21/01 Docket No. 103576-144 Atty/paralegal/secy: CS

Title: Mekk1 Molecules and Uses Thereof  
 Serial/Patent No. 09/697,898 Applicant Palombella, et al.

In the above matter, the following have been received by the US Patent and Trademark Office on the date stamped hereon.

- Express Mail Label No. \_\_\_\_\_  Certificate of Mailing under 37 CFR 1.8 (a)  
 Application incl. \_\_\_\_\_ pages ( Design)  Response to Office Action/Restriction Req.  
 \_\_\_\_\_ pp. of specification  Petition for \_\_\_\_\_ month extension of time  
 \_\_\_\_\_ claims (# of claims)  Assignment and Cover Sheet  
 \_\_\_\_\_ abstract  Amendment ( Preliminary;  After Final)  
 \_\_\_\_\_ sheets of drawings; Figs. \_\_\_\_\_  Notice of Appeal  
 formal  informal  Appellant's Brief (triplicate) ( Reply Brief)  
 Provisional Application Cover Sheet  Letter to Chief Draftsperson  
 Declaration / Power of Attorney ( Assignment)  
 Verified Statement Claiming Small Entity Status  Issue Fee/ Maintenance Fee Transmittal  
 Copy of Notice to File Missing Parts  Response  Sequence Listing;  Diskette  
 Request for filing CPA; 37 CFR 1.53(d)  Transmittal Letter  
 IDS, PTO-1449, and \_\_\_\_\_ references (Supp. \_\_\_\_\_)  Check for \$ \_\_\_\_\_; check # \_\_\_\_\_  
 Deposit Account Authorization \$ \_\_\_\_\_

other: Statement Under 37 C.F.R. Sec. 1.821(f)

other: Copy of Notice to Comply with Sequence Listing Requirements

Mailing Date: 3/21/01 Docket No. 103576-144 Atty/paralegal/secy: CS

**COPY**

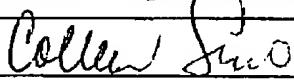
Please type a plus sign (+) inside this box → +

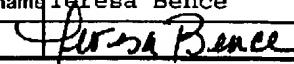
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		<b>Application Number</b>	09/697,898
		<b>Filing Date</b>	October 27, 2000
		<b>First Named Inventor</b>	Vito J. Palombella
		<b>Group Art Unit</b>	1645
		<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	
		103576.144	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Statement Under 37 C.F.R. Sec. 1.821(f); Written Copy of Sequence Listing Information; Diskette Containing Sequence Listing Information; Copy of Notice to Comply with Sequence Listing Requirements		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Colleen Superko, Hale and Dorr, LLP
Signature	
Date	March 21, 2001

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <input type="text" value="March 21, 2001"/>		
Typed or printed name	Teresa Bence	Date
Signature		March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**COPY**

PATENTS  
Attorney Docket No. 103576.144

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Palombella <i>et al.</i>	Art Unit:	1645
Serial No.:	09/697,898	Examiner:	Unassigned
Filing Date:	October 27, 2000		
Title:	<b>Mekk1 Molecules and Uses Thereof</b>		

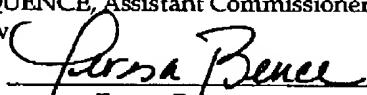
**BOX SEQUENCE**  
Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATION UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX SEQUENCE, Assistant Commissioner for Patents, Washington, DC 20231 on the date shown below.

March 21, 2001

Date of signature and  
of mail deposit



Teresa Bence

**PRELIMINARY AMENDMENT**

Sir:

Please amend the above-referenced patent application before substantive examination as follows:

**Amendments to the Specification:**

Please amend the specification as described below. As required by 37 C.F.R. § 1.121(b)(1), the amended paragraphs are rewritten with all changes included. In addition, also attached, is a marked-up version of the amended paragraphs, marked to show all of the changes relative to the previous version.